NUCLEOPHILIC SUBSTITUTIONS OF α -HALO-KETONES—XXII

ACETOLYSIS OF α' - PHENOXY - α - CHLORO - KETONES. A COMPARISON OF THEIR REACTIVITY WITH THAT OF THE CORRESPONDING THIOETHERS

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Abstract—The results obtained in the acetolysis of α - chloro - ketones **1a**-e are compared with those previously reported for the acetolysis of the corresponding α' - phenylthio - α - chloro - ketones **15a**-e and discussed in terms of the enolization-solvolysis mechanisms (Schemes 1 and 2).

The study of solvolytic reactions of α - halo - ketones bearing an aryloxy substituent on the α' - carbon has been restricted in the present series of papers to substrates such as 2 - haloacetyl - 1,4 - benzodioxanes,¹⁻⁵ only a preliminary communication on the acetolysis of open chain substrates having as yet been published.⁶ The acetolysis of α' - phenoxy - α chloro - ketones **1a**-e are reported in the present paper in order to compare their reactivity with that of the corresponding α' - phenylthio - α - chloro ketones, which were the object of previous work.

The acetolyses were performed under standard conditions in AcOH and in the presence of 3 moles AcOK. The results are summarized in Table 1. Both the acetolyses of 1a led exclusively to cine-substitution of the halogen, affording 1 - acetoxy - 1 - phenoxy - 2 - propanone <math>2a, as the only product.

Kinetic experiments were performed in parallel with α - chloro - ketone 1a and the substrate 1'a

selectively deuteriated at the α' - C (see Table 2). A strong isotope effect $(K_H/K_D = 13.4)$ was observed only during acetolysis in plain AcOH. The rate of solvolysis in the AcOH/AcOK system was apparently unaffected by salt concentrations up to 10^{-3} moles/lit, and was accelerated only by a factor of ten with a 10^{-1} M AcOK concentration; however, the pattern of this reaction appeared to be significantly modified (as shown by the correlation factors), although 2a was the only product in every case. In the acetolysis of 1'a the resulting α - acetoxyketone was fully deuteriated at the methine group. The presence of an alkyl or aryl substituent on the α' -C of 1 caused a marked decrease in reactivity. Thus, only a very low conversion of 1b could be achieved after prolonged heating in boiling AcOH, while in the presence of acetate the acetolysis was far from being completed even after 7 h at 120°. The two reactions gave different results, 3 - phenoxy - 3 buten - 2 - one, 3a, being the only product in plain AcOH, while a 3:2 ratio of 3a and 3 - acetoxy - 3 phenoxy - 2 - butanone 2b, was obtained in the AcOH/AcOK system.

Chloro-ketone 1c underwent solvolysis only in the AcOH/AcOK system giving a 1:2 ratio of the isomeric acetoxy-ketones 3 - acetoxy - 4 - methyl - 3 phenoxy - 2 - pentanone 2c and 1 - acetoxy - 4 methyl - 3 - phenoxy - 2 - pentanone 4a, with 4 methyl - 3 - phenoxy - 2 - penten - 2 - one 3b. The latter had previously been obtained by reacting phenol with 3 - bromo - 4 - methyl - 3 - penten - 2 - one.⁷ An authentic sample of 4a was prepared through the

Substrate	Freesiment	.1	Products from:								
	conditions	Conversion %	cinesubstitution		elimination		normal substitution		other reactions		
15	A 9 h	38	2a	(100%)			_	_			
Id	B 1 h	100	2a	(100%)							
15	A 40 h	14			3a	(100%)					
10	B7h	70	2 b	(40%)	3a	(60%)					
10	A 24 h	0									
	B 12 h	66	2 c	(23%)	3b	(30%)	4 a	(47%)			
14	A 24 h	27	2d	(50%)					6 (30%),	7 (10%),	8 (10%)
N	B 2.5 h	100	28	(100%)							
1e	A 24 h	0									
	3 16 h	30					4 b	(100%)			

Table 1. Acetolyses of chloro-ketones 1a-e in neat AcOH (A) and in the presence of AcOK (B)*

* Substrate conc: 0.2 M AcOK conc: 0.6 M. Reaction temperature 120*.

 Table 2. Rate constants for the acetolyses of 1 - chloro - 3 - phenoxy - 2 - propanone 1a and 1 - chloro-3,3 - dideuterio - 3 - phenoxy - 2 - propanone 1'a*

Substrate System		Kobsd sec ⁻¹ x 10^{-5}	Correlation factors		
fa	AcOH	1.75	0.997		
ta	AcOK 0.001 M	1.86	0.992		
ta	AcOK 0.1 M	17.30	0.931		
1'a	AcOH	0.13	0.993		
1 ' a	AcOK 0.1 M	11.74	0.958		

Reaction temperature: $100^{\circ} \pm 0.1$. The observed rates were obtained from the slope of the first-order plot of ln [substrate] vs time.

acetolysis of 1 - diazo - 4 - methyl - 3 - phenoxy - 2 - pentanone 5.



Chloro-ketone 1d behaved differently in plain AcOH and in the presence of acetate. The latter acetolysis occurred easily affording 1 - acetoxy - 1 phenoxy - 1 - phenyl - 2 - propanone 2d as the only product. The substrate, instead appeared to be rather inert in boiling AcOH, the reaction resulting in various degradation products such as phenyl-acetate 6, 1 - phenyl - 1,2 - propandione 7, 1 - acetoxy - 3 - chloro - 1 - phenyl - 2 - propanone 8, in addition to 2d. A separate experiment confirmed that phenol forms 6 under the conditions employed in the acetolysis of 1d.

The acetolysis of 1d was also performed in the presence of 0.5 M LiCl, LiClO₄, or LiOAc. The data reported in Table 3 show that, as expected, the reaction was considerably accelerated by these salts, due at least in part to the increased ionic strength of the medium. However, the product distribution was markedly different in the experiment with LiClO₄, in

Salt conc.	Conversion Produ					roducts						
	0%											
0.5 M LiCl	32%	2d	(81%)	6	(15%)	7	(2%)	8	(2%)			
0.5 M LICIO4	38%			6	(14%)	7	(18%)	8	(68%)			
0.5 M LiOAc	100%	2d	(100%)									

Table 3. Acetolyses of 1d in the presence of different lithium salts (15 h at 90°)

Table 4. Acetolyses of 1 - chloro - 3 - deuterio - 3 - phenoxy - 3 - phenyl - 2 - propanone, 1'd

Substrates	Experimental conditions	conv.	Products					
1d	24 h at 120°	27%	21 (50%)	6 (30%)	7 (10%)	8 (10%)		
1'd	24 h at 120°	22%		6 (32%)	7 (9%)	8 (59%)		
1'd	2.5 h at 120° ^(*)	58%		6 (24%)	7 (trace	es) 8 (76%)		

(*) Run in the presence of 0.5 M LiClO₄.

which chlorine cine-substitution was completely absent, whereas it was predominant or the only process in the presence of LiCl and LiOAc, respectively.

The preparation of 1 - chloro - 3 - deuterio - 3 - phenoxy - 3 - phenyl - 2 - propanone 1'd, was achieved through an independent synthesis starting from 2 - deuterio - 2 - phenyl - acetic acid. The results obtained in the acetolysis of 1'd are reported in Table 4. Finally, chloro-ketone 1e underwent acetolysis only in the presence of acetate, the very slow reaction leading exclusively to 1 - acetoxy - 3 - methyl - 3 - phenoxy - 2 - butanone 4b.

DISCUSSION

The possibility that α - halo - ketones might give substitution products via the enolization-solvolysis mechanism was already considered in our previous papers^{1,2,3,8-12} and by Bordwell *et al.*¹³⁻¹⁶

The above results suggest that, in the absence of acetate, the acetolysis of 1a proceeds according to the mechanism illustrated in Scheme 1, which postulates the formation of enol allylic chloride 9a as the rate determining step. This hypothesis is supported by the

strong isotope effect observed in the acetolysis of the deuteriated substrate 1'a. In AcOH, an acidic solvent with low ionizing power,¹⁷ ionization of 9a would lead to bridged ion pairs 10a,¹⁸ which might undergo nucleophilic attack at the more electrophilic carbon, affording 2a. As for the intermediate formation of 11a, no evidence was obtained that isomerization of the substrate occurred during solvolysis, as it does in the acetolysis of the sulfur analogue 15a;¹⁹ however, failure to identify 11a in the reaction medium might well be ascribed to the higher reactivity of α - chloro - ethers than of the corresponding α - chloro - thioethers.

As for the acetolysis in the presence of acetate the kinetics performed with 1a and 1'a seem to indicate that at high salt concentration a $S_N 2'$ displacement of the chlorine on enol allylic chloride 9a might be concurrent with the mechanism operating in AcOH.

The lower reactivity of 1b and 1c can in turn be attributed to the enolization of these substrates being much slower, because of the weaker acidity of the methine carbon. According to the mechanism of Scheme 1, enol allylic chlorides 9b and 9c, or the





Scheme 2.

corresponding ion pairs 10b and 10c, may undergo acetolysis (leading to 2b and 2c respectively) or alternatively HCl elimination to 3a and 3b. Again, isomerization of the substrates prior to elimination is questionable, since the process leading from 9b, c to 3a, b might well be concerted. Moreover, no evidence of the intermediate formation of 11b, c is available. In any case, AcOH elimination from 2b and 2c, which might be an alternative pathway, can only partly be responsible for the formation of α , β -unsaturated ketones 3a and 3b, which were shown to form rather slowly from 2b and 2c under the conditions employed in the solvolyses. Also, the presence of a bulky substituent may well account for normal substitution being a concurrent pathway in the acetolysis of 1c with acetate.

The results obtained with α - chloro - ketone 1d are better discussed in terms of the mechanisms reported in Scheme 2, which differentiates the solvolysis in plain AcOH from those run in the presence of the different salts. Unlike the acetolyses of **1a,b,c**, which apparently involve the corresponding enols 9a,b,c, and not the alternative ones, the acetolysis of 1d in plain AcOH clearly requires the intermediacy of both enols 9d and 12. Thus, while the former may undergo the solvolysis likewise enol allylic chlorides 9a,b,c through the intermediate formation of ion pair 10d, eventually collapsing to the isomeric chloro-ketone 11d (pathway a), the latter enol may in turn originate chloro-ketone 8 through ionization of the allylic ether linkage, followed by nucleophilic displacement of phenol (pathway b). Indeed, the acetolysis of 1d appeared to be strongly dependent on the ionic strength of the medium, the substrate being practically stable in rigorously anhydrous AcOH; the results under discussion were in fact obtained with a solvent containing traces (less than 0.1%) of water. The different reactivity of 1d may possibly be due to enol allylic chloride 9d being more stable, and therefore less reactive than the corresponding chlorides 9a,b,c because of more extensive conjugation; the alternative enol 12 might then participate in the solvolytic process as an active intermediate.

The Scheme 2 also accounts for the results reported in Table 3. In the presence of LiCl, isomerization of 1d to 11d may occur in a process involving a $S_N 2'$ reaction, the nucleophilic attack by the external chloride on enol 9d being followed by fast solvolysis of the resulting α - chloro - ether (pathway c). In the presence of acetate the same pathway involving nucleophilic displacement of the chlorine by a $S_N 2'$ mechanism may well lead directly to cinesubstitution.

The acetolysis of 1d in the presence of 0.5 M LiClO₄ cannot be explained solely in terms of the ionic strength of the medium, since the results differ substantially from those obtained with the same LiCl concentration. Winstein et al. have shown that low concentrations of LiClO₄ alter ion pair return in acetolysis of certain alkyl chlorides and tosylates.^{18, 20} Also they put forward evidence that salts like LiBr and LiClO₄ act as scavengers for the same solvolysis intermediates, both trapping the solvent-separated ion pair, but permitting internal return from the intimate ion pair.^{21,22} In our case, however, the high LiClO₄ concentration, while increasing the ionic strength of the medium may exert their influence in preventing ionization of enol allylic chloride 9d ultimately responsible for the allylic rearrangement; the solvolytic process may then occur exclusively through the alternative enol 12 (pathway b).

The different reactivity of 1d and the deuteriated substrate 1'd (see Table 4) can also be explained in terms of the enolization equilibria reported in Scheme 2. One may recall (see Experimental) that treatment of 1d with tetradeuterio-acetic acid resulted in selective and complete deuteriation of its methylene, with concurrent solvolysis. This proves that enolization of 1d is greatly favoured in the direction of 12, rather than 9d. However, mesomeric participation by the neighbouring ether oxygen may assist ionization of 9d to ion pair 10d, eventually leading to cinesubstitution. The latter process was instead completely absent in the acetolysis of 1'd, possibly because the formation of 9d was depressed to such an extent to prevent pathway a. This point appears to be in line with the strong isotope effect observed in kinetic experiments on the acetolysis of 1a and 1'a.

It is interesting to note that, as expected, cinesubstitution did not occur also in the acetolysis of 1'd in the presence of $LiClO_4$.

Enolization apparently plays an important role also in the case of α - chloro - ketone 8 the precursor of α -diketone 7. The yield of the latter from 8 has already been reported in the literature, but no mechanistic hypothesis was put forward to explain the reaction.²³ In our opinion, the acetolysis very probably proceeds via isomerization of 8 to 14, through the intermediate formation of enol allylic chloride 13; hydrolytic cleavage of 14 would then produce 7 during the final work-up. It has been confirmed that the above diketone cannot be formed by hydrolysis of 2d, which appeared to be stable under the conditions employed during the elaboration of the reaction mixture.

The inertness of α - chloro - ketone 1e, which cannot yield an enol allylic chloride, fits well in the above mechanistic considerations. Steric hindrance did not, however, prevent the reaction from leading to acetoxy-ketone 4b under forcing conditions.

The different reactivity of chloro-ketones 1 and $15^{19,24}$ can be discussed with reference to the data reported in Tables 1 and 5.

The easier solvolysis of **15a,b,c,d** can be ascribed to more efficient participation by sulfur in the ionization of enol allylic chlorides **20**.

Indeed, neighbouring thioether groups have been shown to be extremely active in reactions involving carbocations; also, measures of anchimeric assistance have proved thioethers to be far superior to ethers in solvolytic reactions.²⁵ Also, the different weight of cine vs normal substitution in the two series of substrates may be explained in terms of different charge distribution in the delocalized cationic species 10 and 21, the more electronegative oxygen being responsible for predominant nucleophilic attack at the adjacent carbon. Reversible isomerization was evidenced only in the acetolysis of thioethers 15a,d, while elimination reactions occurred in both series of α - chloro - ketones provided that one hydrogen was present on the β' -carbon atom.

Participation involving a σ bond between the heteroatom and the carbon bearing the leaving group has never been observed with α - chloro - ketones 1, but only with thioethers 15c and 15f, having a bulky substituent on the α' - carbon; the acetolyses of these substrates afforded in fact acetoxy-ketones 22 and 23, and thiolester 24, respectively, the formation of which requires the intervention of the cyclic intermediate 25.^{24,26}

Products from: Experimental Substrate conversion % cinesubstitution elimination normal other reactions conditions substitution 2 h 54 A 16a (74%) 18a (9%) 19a (17%) 15a R 1 h 100 16a (75%) 18a (25%) A 2 h 0 15b В 51 100 16b (63%) 17a (23%) 18b (14%) A 9 h 40 17b (100%) 15c 8 3 h 95 17b (76%) 18c (18%) 22 (6%) A 401 15 18d (80%) 196 (20%) 15d В 1 100 16c (33%) 18d (67%) 15e A.B 24 h 0

Table 5. Acetolysis of chloro-ketones 15a-e²⁴ in neat AcOH(A) and in the presence of AcOK(B)*

Substrate conc: 0.2 M. AcOK conc: 0.6 M. Reaction temperature 120°.

The above results indicate that, unless steric factors inhibit the formation of enol allylic chloride 20 in the acetolysis of thioethers 15, mesomeric participation of sulfur in the displacement of chlorine is a more favourable process than S-4 participation involving 3 keto - thietanium cations.

EXPERIMENTAL

Melting points were determined on a Büchi apparatus and are uncorrected. NMR spectra were recorded on a Varian T-60 spectrometer using tetramethylsilane as the internal standard.

Materials

Anhydrous AcOH was prepared by refluxing (4 h) 99.8% AcOH (Merck) with the calculated amount of Ac₂O (Merck). The solvent was shown to be anhydrous by Karl Fischer titration. The solution of AcOK in glacial AcOH, was prepared from Carlo Erba RPE salt, dried at 120°C *in vacuo* to constant weight. Solutions of LiCl (Carlo Erba RPE reagent) in glacial AcOH were prepared in a similar manner.

The solns of LiClO₄ were prepared by dissolving the calculated amount of the trihydrate salt (Fluka) in anhydrous AcOH and treating it with the calculated amount of Ac_2O , to give a 0.01 M excess.

The soln of AcOLi was prepared by dissolving 1 mol LiCO₃ (Carlo Erba) in the appropriate amount of AcOH, containing 1 mol Ac_2O .

Substrates

Chloroketones 1a-e were prepared starting from the corresponding α - diazo - ketones by reaction with 1.4 M ethereal HCl (mole ratio 1:1.5). After the usual work-up, the oily residues were chromatographed on silica gel (eluant 10:1 light petrol ether/ethyl acetate).

1 - Chloro - 3 - phenoxy - 2 - propanone 1a was prepared from 1 - diazo - 3 - phenoxy - 2 - propanone.²⁷ M. p. 34–35°. NMR (CCl₄) δ : 7.33–6.60 (5H, m); 4.58 (2H, s); 4.20 (2H, s).

1 - Chloro - 3,3 - dideuterio - 3 - phenoxy - 2 - propanone 1'a was prepared through the Clibbens-Nierenstein reaction starting from 2,2 - dideuterio - 2 - phenoxyacetyl chloride.

1 - Chloro - 3 - phenoxy - 2 - butanone 1b was prepared from 1 - diazo - 3 - phenoxy - 2 - butanone.²⁷ Oil (b.p. 53-55° at 0.2 mm Hg). NMR (CCl₄) δ: 7.33–6.66 (5H, m); 4.78 (1H, q); 4.28 (2H, AB system); 1.50 (3H, d).

1 - Chloro - 4 - methyl - 3 - phenoxy - 2 - pentanone 1c. A soln of 3 - methyl - 2 - phenoxy - butanoyl chloride (2 g) in 0.3 M ethereal diazomethane (100 ml) was stirred at - 10°C for 3 h. Evaporation of the solvent afforded 2 g of 1 - diazo - 4 - methyl - 3 - phenoxy - 2 - pentanone 5 (m.p. 42-43°). NMR (CCl₄) δ : 7.33-6.60 (5H, m); 5.40 (1H, s); 4.15 (1H, d, J = 5 Hz); 2.46-1.85 (1H, m); 1.08-0.95 (6H, m). A solution of the diazoketone (0.7 g) and SOCl₂ (0.8 ml) in CCl₄ (5 ml) was stirred for 3 h at room temp. After evaporation of the solvent and distillation *in vacuo* 1 - chloro -4 - methyl - 3 - phenoxy - 2 - pentanone 1c was obtained as an oil (b.p. 108-110° at 0.5 mm Hg). NMR (CCl₄) δ : 7.35-6.60 (5H, m); 4.35-3.98 (2H, ABq, J_{AB} = 17 Hz); 4.30 (1H, d, J = 5 Hz); 2.20 (1H, sept., J = 5 Hz); 1.20-0.95 (6H, m).

1 - Chloro - 3 - phenoxy - 3 - phenyl - 2 - propanone 1d was prepared from 1 - diazo - 3 - phenyl - 3 - phenoxy - 2 propanone.²⁷ Oil (b.p. 80-82° at 0.2 mm Hg). NMR (CCl₄) δ: 7.56-6.76 (10H, m); 5.73 (1H, s); 4.36 (2H, s).

1 - Chloro - 3 - deuterio - 3 - phenoxy - 3 - phenyl - 2 propanone 1'd was prepared through the Clibbens-Nierenstein reaction starting from 2 - deuterio -2 - phenoxy - 2 - phenylacetyl chloride.

1 - Chloro - 3 - methyl - 3 - phenoxy - 2 - butanone 1e was prepared from 1 - diazo - 3 - methyl - 3 - phenoxy - 2 butanone.²⁸ NMR (CCl₄) δ : 7.43–6.56 (5H, m); 4.43 (2H, s); 1.48 (6H, m).

General procedure for the acetolysis

The acetolyses were performed on approximately 1-2 mmol substrate, both in neat AcOH and in the presence of AcOK under standard conditions (substrate 0.2 M; AcOK 0.6 M, temperature 120°). The crude mixture was quenched and treated twice with H₂O and CCl₄. After shaking, the CCl₄ solutions were dried over MgSO₄, filtered and evaporated. NMR and GLC analyses were performed on the residues; the results are summarized in Table 1.

Acetolysis of 1a. The reaction gave 1 - acetoxy - 1 - phenoxy - 2 - propanone 2a as the only product. Oil (b.p. 78-80°, 0.15 mm Hg). NMR (CCl₄) δ : 7.43-6.76 (5H, m); 6.20 (1H, s); 2.30 (3H, s); 2.10 (3H, s).

Acetolysis of 1b. The crude reaction mixture by column

chromatography over silica gel (eluant 8:1 light petrol ether/ethyl acetate) afforded the pure compound 2b and 3a.

1 - Acetoxy - 1 - phenoxy - 2 - butanone **2b.** Oil (b.p. 140°, 0.3 mm Hg); NMR (CCl₄) δ : 7.36–6.83 (5H, m); 2.36 (3H, s); 2.03 (3H, s); 1.43 (3H, s).

3 - Phenoxy - 3 - buten - 2 - one **3a**. Oil (b.p. 86–88°, 0.1 mm Hg). NMR (CCl₄) δ : 7.05-6.65 (5H, m); 4.98 (2H, d); 2.28 (3H, s).²⁷

Acetolysis of 1c. Silica gel column chromatography (eluant 9:1 light petrol ether/ethyl acetate) of the crude reaction mixture afforded 2c, $3b^7$ and 4a in pure state.

3 - Acetoxy - 4 - methyl - 3 - phenoxy - 2 - pentanone 2c, oil (b.p. 102-4° at 0.6 mm Hg). NMR (CCl₄) δ : 7.40-6.85 (5H, m); 2.42 (3H, s); 2.33-2.10 (1H, m); 2.05 (3H, s); 1.10-0.90 (6H, m).

l - Acetoxy - 4 - methyl - 3 - phenoxy - 2 - pentanone 4a, oil b.p. 120-2° at 0.5 mm/Hg). NMR (CCl₄) δ : 7.37-6.60 (5H, m); 4.73-4.50 (2H, ABq, J_{AB} = 17 Hz); 4.25 (1H, m); 2.56-2.33 (1H, m); 2.10 (3H, s); 1.15-0.96 (6H, m). Authentic 4a was obtained by the acetolysis of 1 - diazo - 4 - methyl -3 - phenoxy - 2 - pentanone 5.

Acetolysis of 1d. The crude mixture obtained from the reaction in plain AcOH was chromatographed over silica gel column (eluant 10:1 light petrol ether/ethyl acetate) to give 1 - acetoxy - 1 - phenoxy - 1 - phenyl - 2 - propanone 2d (m.p. 138-9°). NMR (CCl₄) δ : 7.66–6.73 (10H, m); 2.43 (3H, s); 2.10 (3H, s). Compounds 6, 7 and 8 were identified by GLC and NMR comparison with authentic samples.

The acetolysis of 1d was also performed in tetradeuterioacetic acid. The reaction was run at 70°, following the progress of deuteriation and solvolysis by NMR and GLC analyses. After 7 days complete deuteriation of the methylene of 1d was achieved with practically no H/D exchange at the methine group, as proved by the recovered starting material. The amount of the concurrent solvolysis was not evaluated.

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